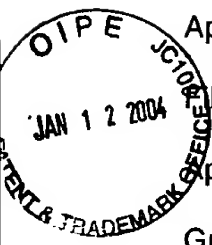


2/12/04  
#17

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application No.: 09/500,624  
Filing Date: February 9, 2000  
Applicant: Dean Amburn

Group Art Unit: 3628

Examiner: Harish T. Dass

Title: Method And Apparatus For Automated Trading of  
Equity Securities Using A Real Time Data Analysis

Attorney Docket: 2425-000001

RECEIVED  
JAN 15 2004  
GROUP 3600

Director of The United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

LETTER


Sir:

On December 17, 2003, Applicant submitted an Information Disclosure Statement and corresponding Form 1449 in the above-referenced application. One of the cited references was a publication entitled "Trading as a Business" by Charlie F. Wright which inadvertently was not enclosed with the submission to the Patent Office.

Accordingly, Applicant submits herewith the publication entitled "Trading as a Business" by Charlie F. Wright, dated 1998.

Respectfully submitted,

Dated: 1/12/2004

By:   
Dean W. Amburn  
Reg. No. 46,517

HARNESS, DICKEY & PIERCE, P.L.C.  
P.O. Box 828  
Bloomfield Hills, Michigan 48303  
(248) 641-1600

3628

Please type a plus sign (+) inside this box → ☐

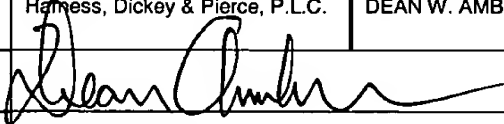
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) <b>U.S. PATENT &amp; TRADEMARK OFFICE</b> <b>JAN 12 2004</b>	<b>Application Number</b>	09/500,624
	<b>Filing Date</b>	February 9, 2000
	<b>First Named Inventor</b>	Dean Amburn
	<b>Group Art Unit</b>	3628
	<b>Examiner Name</b>	Harish T. Dass
<b>Total Number of Pages in This Submission</b>	<b>Attorney Docket Number</b>	2425-000001

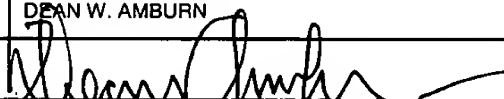
RECEIVED

JAN 15 2004

GROUP 3600

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Letter; Copy of publication "Trading as a Business"; return postcard.</b>
<b>Remarks</b>		<b>The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.</b>

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
<b>Firm or Individual name</b>	Harness, Dickey & Pierce, P.L.C.	<b>Attorney Name</b>	DEAN W. AMBURN
<b>Signature</b>			<b>Reg. No.</b> 46,517
<b>Date</b>	JANUARY 12, 2004		

<b>CERTIFICATE OF MAILING/TRANSMISSION</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.			
<b>Typed or printed name</b>	DEAN W. AMBURN	<b>Express Mail Label No.</b>	EV 406 075 762 US (1/12/2004)
<b>Signature</b>			<b>Date</b> JANUARY 12, 2004

EV 406 075 762 US